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**Article:**

Whybrow, S, Stubbs, RJ orcid.org/0000-0002-0843-9064, Johnstone, AM et al. (4 more authors) (2016) Plausible self-reported dietary intakes in a residential facility are not necessarily reliable. *European Journal of Clinical Nutrition*, 70 (1). pp. 130-135. ISSN 0954-3007

<https://doi.org/10.1038/ejcn.2015.124>

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1 **Plausible self-reported dietary intakes in a residential facility are not**  
2 **necessarily reliable.**

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19 Running Head : Misreporting of energy intakes

20 **Conflicts of interest**

21 The authors declare no conflict of interest.

## 22   **Abstract**

23   **BACKGROUND/OBJECTIVES** Comparing reported energy intakes to estimated energy  
24   requirements as multiples of Basal Metabolic Rate ( $E_{in}:BMR$ ) is an established method of  
25   identifying implausible food intake records. The present study aimed to examine the validity  
26   of self-reported food intakes believed to be plausible.

27   **SUBJECTS/METHODS** One hundred and eighty men and women were provided with all  
28   food and beverages for two consecutive days in a residential laboratory setting. Subjects self-  
29   reported their food and beverage intakes using the weighed food diary method (WDR).  
30   Investigators covertly measured subjects' actual consumption over the same period. Subjects  
31   also reported intakes over four consecutive days at home. Basal Metabolic Rate was  
32   measured by indirect calorimetry.

33   **RESULTS** Average reported energy intakes were significantly lower than actual intakes  
34   (11.2MJ/d and 11.8MJ/d respectively,  $p < 0.001$ ). Two-thirds (121) of the WDR were under-  
35   reported to varying degrees. Only five of these were considered as implausible using an  
36    $E_{in}:BMR$  cut-off value of  $1.03 \times BMR$ . Under-reporting of food and beverage intakes, as  
37   measured by the difference between reported and actual intake, was evident at all levels of  
38    $E_{in};BMR$ . Reported energy intakes were lower still (10.2MJ/d) while subjects were at home.

39   **CONCLUSION** Under-recording of self-reported food intake records was extensive but very  
40   few under-reported food intake records were identified as implausible using energy intake to  
41   BMR ratios. Under-recording was evident at all levels of energy intake.

42

43   **Key words:** Misreporting, under-reporting, under-eating, dietary intake.

44

## 45    **Introduction**

46    Almost all dietary intake measurements are self-reported and therefore prone to distortion by  
47    subjects inaccurately or incompletely reporting their diets. Based on the fundamental  
48    principles of energy balance, it is now generally accepted that under-reporting, or  
49    misreporting, of food intake is widespread if not universal (1-4). Many subjects in diet  
50    surveys misreport their food intake to an extent that may distort the relationships between diet  
51    and health that inform policy decisions (e.g. 5).

52    Aside from technical errors in the recording of food intake (such as inadequate descriptions  
53    of foods, accuracy of food weighing scales or unclear instructions given to participants) the  
54    misreporting of food intake can be considered as having two components. Firstly participants  
55    choose different foods from normal when they are aware that their diet is being monitored  
56    (the observation effect), either to report a diet that they believe is closer to the recommended,  
57    or for convenience as some foods and meals are simply easier to weigh than others (6).  
58    Secondly participants fail to record all of the foods that they actually consume, either  
59    consciously or accidentally (the recording effect) (7).

60    It is usually assumed that misreporting of food intake is biased more towards reporting lower  
61    rather than higher energy intakes, and there is indirect evidence to support this when reported  
62    energy intakes are compared against energy expenditure (see below). More direct evidence is  
63    harder to find, although weight stable obese subjects under-reported energy intake from a  
64    buffet meal, whereas normal weight subjects accurately reported intakes (8). Perhaps  
65    unsurprisingly weight restored patients with anorexia nervosa over-reported energy intake in  
66    the same study (8). When a measure of true food intake is available for periods of a day or  
67    two-weeks, group average reported energy intakes are lower than actual energy intakes, and  
68    most individuals under-report their food intake, although a small number do over-report (7,  
69    9).

70    When direct observation of food intake is not possible, the most widely used methods of  
71    identifying individuals suspected of reporting low energy intakes are the Goldberg cut-off  
72    method and by comparison to energy expenditure through indirect calorimetry, *viz.* the  
73    doubly labelled water technique (10). A major problem is that these methods rely on  
74    measures of energy expenditure that are imperfect, or estimates of energy expenditure based  
75    on assumptions about levels of physical activity and regression equations to estimate BMR.

76 The Goldberg cut-off method aims, statistically, to identify subjects who report implausibly  
77 low energy intake to BMR ratios either for long-term habitual intake (cut-off 1) or for intake  
78 over the measurement period (cut-off 2)(11). The cut-off values are based on the assumption  
79 that subjects are in energy balance and that their energy requirements have been accurately  
80 estimated, with the cut-off value being adjusted to account for the uncertainty in estimating  
81 BMR and the duration of the diet recording period. Predicting BMR can be difficult,  
82 especially so in the obese as common regression methods over-estimate BMR at higher body  
83 weights (12), and assumptions have to be made about physical activity levels. Subsequent  
84 recommendations were made that measurements or estimates of individual physical activity  
85 levels are necessary (13). In addition higher reported intakes may also be affected by  
86 misreporting and higher intakes are more likely in those with higher activity levels.  
87 Furthermore, most subjects tend to be in a negative energy balance (as estimated by change in  
88 body weight) when completing food intake records (14-16).

89 The use of energy intake to BMR ratios to identify low reported energy intakes has also been  
90 compared to that of using biomarkers of diet, the most widely used being the ratio of urinary  
91 to dietary nitrogen (17), a method that is also not without its limitations. Thus, self-reported  
92 dietary intakes have been compared to indirect measures of energy expenditure (as an indirect  
93 measure of energy intake assuming energy balance), or indirect measures of protein intake (as  
94 an indirect measure of energy intake). What is missing, and is needed, is a direct, precise and  
95 concurrent measure of food intake against which to test the ability of energy intake to BMR  
96 ratios to identify misreporting of energy intake.

97 We have previously developed and validated a “gold standard” method of measuring food  
98 intake, and used it to quantify the nature and extent of misreporting of diet in the laboratory,  
99 albeit under conditions that were as close to free-living as practicable *i.e.* in a residential  
100 metabolic facility (7). This gold standard method, the laboratory weight intake (LWI) allows  
101 a direct comparison to be made between food intake reported by subjects and their actual  
102 food intake. The current study aimed to assess the validity of self-reported weighed food  
103 intake records completed in a laboratory setting and that would be considered plausible using  
104 the criterion of reported energy intake to BMR ratios. Effects of recording food intake under  
105 more usual, real world, diet survey conditions on reported energy intake were then  
106 considered.

## 107 **Methods and Materials**

### 108 **Study design**

#### 109 **Subjects**

110 One hundred and eighty, apparently healthy, men and women were recruited from the  
111 Aberdeen area. The real purpose of the study was, necessarily, not explained to the subjects  
112 and they were informed that it was to examine the relationships between diet and lifestyle.

#### 113 **Recruitment and ethics**

114 Prospective volunteers were invited to the Human Nutrition Unit (HNU) of the Rowett  
115 Institute of Nutrition and Health where all procedures involved in the study and any  
116 discomfort or risk they may have posed were explained. This study was conducted according  
117 to the guidelines laid down in the Declaration of Helsinki and all procedures involving human  
118 subjects were approved by the Joint Ethical Committee of the Grampian Health Board and  
119 the University of Aberdeen. Written informed consent was obtained from all subjects.

#### 120 **Protocol**

121 Each subject was studied using a randomized cross over design for two consecutive days in  
122 the laboratory and four consecutive days in their natural environment (home). The days of the  
123 week on which subjects completed the measurements was balanced between the laboratory  
124 and home phases.

#### 125 **Laboratory phase**

126 Subjects each completed a one-day maintenance period (at home) during which they were  
127 provided with a fixed diet designed to maintain energy balance estimated at 1.6 and 1.5 times  
128 BMR for men and women respectively. For the following two days (one week-day and one  
129 weekend-day, randomized to Friday and Saturday or Sunday and Monday) subjects were  
130 resident at the HNU where food intake was covertly quantified on a daily basis by the  
131 investigators, using a previously described LWI method (7).

132 Each subject was provided with an individual larder and had *ad libitum* access to variety of  
133 familiar foods, and food intake was continuously and covertly monitored and quantified by

trained staff. All food items were weighed by research staff before they were placed into each subject's personal larder. Each subject received bottled water for drinking, and their own individual kettle, in order to allow an estimate of water consumption. Full verbal and written instructions regarding the kitchens including information on waste and packaging, and use of kettles and water were given to each subject. Subjects were instructed not to throw any waste away including packaging of food items and peelings, and uneaten food from meals. Every kitchen contained a special bin for all waste and packaging, with all waste items being individually wrapped. Subjects were also instructed not to wash any dishes.

An investigator entered the kitchen each morning before the subject awoke and re-weighed all food items, any leftovers including peelings, and packaging found in the subjects' individual bins. This enabled accurate estimates of 24-hour food intake to be calculated. Subjects were unaware of this procedure, and this constituted the "gold standard" against which to compare self-reported food intakes (7). Each subject was asked to weigh and record all food items eaten and all fluids drunk using the Weighed Dietary Record (WDR) method (18). Full written and verbal information on how to carry this out was given at the beginning of the study.

Thus, the LWI was investigator measured actual food intake, and the WDR was food intake as self-reported by subjects during the residential stay in the laboratory (WDR-L). The difference between the LWI and WDR-L was therefore the reporting effect (the difference between what subjects actually ate and reported eating). The observation effect (change in diet) as a result of the subject being aware that their diet was being evaluated was not measured and would have been an additional source of misreporting error (7).

## **Home phase**

The five-day home study consisted of a one-day maintenance, with the same maintenance diet as during the laboratory phase, and two weekdays and two weekend days (randomized to Thursday – Sunday or Saturday – Tuesday) within the subject's natural environment (i.e. at home). Subjects were asked to complete a four-day WDR (WDR-H) on days two-five using the same procedure as during the laboratory phase.

## **Dietary analysis**

Dietary data for all methods were analysed using Diet 5 (Robert Gordon University, Aberdeen), a computerized version of McCance and Widdowson composition of foods, and supplements (19).

## **Basal Metabolic Rate**

Respiratory exchange was measured using a ventilated hood system (Deltatrac II, MBM-200, Datex Instrumentarium Corporation, Helsinki) under standardized conditions in subjects who were fasted for 12 hours from the previous night. BMR was calculated using the equations of Elia and Livesy (20).

## **Anthropometry**

Body weight was measured on each morning of the study when subjects were resident in the HNU, and at the start and end of the WDR-H period when subjects were at home, using a digital platform scale (DIGI DS-410 CMS Weighing Equipment, London) to the nearest 0.01 kg after voiding and before eating. Subjects were weighed in dressing gowns of a known weight and body weight was then corrected back to nude.

Height was measured to the nearest 0.5cm before subjects started the study using a portable stadiometer (Holtain Ltd., Crymych, Dyfed, Wales).

## **Statistics**

The cut-off value for weighed intake records and measured BMR was calculated as  $1.03 \times \text{BMR}$  for the two-day WDR-L and  $1.10 \times \text{BMR}$  for the four-day WDR-H following the method of Goldberg et al. (1991). All analyses were performed using Statistical Package of Social Sciences software (SPSS Inc., Chicago, IL, USA; Version 21.0.0.1). T-tests were used for comparison of the reporting effect (WDR-L - LWI) between groups of male and female, and lean and overweight subjects. Pearson's correlations were used to assess the strength of the relationship between energy intake and energy requirements. Differences were accepted as statistically different at the 5% level.



## Results

**Table 1** gives the age, height, weight, BMI and BMR of the subjects. Mean daily absolute energy intakes, and energy intake relative to BMR from subjects' self-reported food intakes (WDR-L) were significantly lower than those from the LWI (**table 2**). Both actual (LWI) and reported energy intakes (WDR-L) were positively correlated with BMR ( $r = 0.487$ ,  $P < 0.001$  and  $r = 0.516$ ,  $P < 0.001$  respectively).

< TABLE 1 NEAR HERE >

< TABLE 2 NEAR HERE >

The reporting effect (WDR-L - LWI) was significantly greater in males than it was in females ( $p = 0.025$ ). There was no significant difference in the reporting effect between lean ( $BMI \leq 25\text{kg}\cdot\text{m}^{-2}$ ) and overweight ( $BMI > 25\text{kg}\cdot\text{m}^{-2}$ ) subjects ( $p=0.539$ ).

Six subjects (3.3%) reported energy intakes that were below the Goldberg cut-off value of  $1.03 * \text{BMR}$ . Of these, five had actual energy intake that were less than  $1.03 * \text{BMR}$ .

Mean change in body weight over the two-days was significantly different from zero for males ( $+0.21\text{kg}$ ,  $P = 0.001$ ) and all subjects combined ( $+0.09\text{kg}$ ,  $P = 0.025$ ), but not for females ( $-0.02\text{kg}$ , NS).

**Figure 1** shows the difference in mean daily energy intake calculated from each subjects' self-reported food intake and that calculated from the investigator measured intake (WDR-L - LWI). Values less than zero show those subjects who under-reported their food intake (67% of subjects), and values greater than zero show those subjects who over-reported their food intake (33% of subjects). The appropriate cut-off value ( $1.03*\text{BMR}$ ) is shown by the vertical line, values to the left of this line would be considered as implausible measures of the food consumed over the two-day recording period, whereas values to the right would be considered as acceptable. The same data are presented in **figure 2** but with the WDR-L expressed as a percentage of the LWI for each subject.

< FIGURE 1 NEAR HERE >

< FIGURE 2 NEAR HERE >

Self-reported energy intakes during the home phase (WDR-H) were significantly lower than the WDR-L energy intakes (table 2). Few people (20 or 11%) who reported implausible energy intakes ( $< 1.10 \times \text{BMR}$ ) during the home phase of the study had also reported energy intakes that were less than the LWI during the laboratory phase (figure 3). Almost half (101 or 56%) of the participants who under-reported energy intake in the laboratory reported plausible levels of energy intake at home.

< FIGURE 3 NEAR HERE >

Mean change in body weight over the four-day WDR-H period was similar to the WDR-L period with males gaining a small, and borderline statistically significant, amount of weight (+0.14kg,  $P = 0.057$ ). Change in weight for females and all subjects combined was not significantly different from zero (-0.08kg and +0.03kg respectively).

## Discussion

This study explored whether plausible reports of energy intake, as determined by energy intake to BMR ratios, are always valid and accurate under residential laboratory conditions. Low reported energy intakes – those that would normally be considered implausible - can be valid, and of greater concern is that the majority of plausible food intake records are under or over-reported to varying degrees. It is not simply a case of too lenient a cut-off values. Increasing it does not solve the problem of misreporting, which is a continuous trait that is not easily accounted for by categorical cut-offs. Mis-reporting of food intake under free-living conditions appears to be greater than in the laboratory.

In a prior study, when a different group of subjects recorded their food intake they changed their diet such that energy intake decreased by 5.3% (the observation effect), the difference between what they ate and what they reported was a further decrease in energy intake of 5.1% (the reporting effect) (7). In the current study the reporting effect was a similar 3.8% of actual energy intake.

The prevalence of low energy reporting as determined using an energy intake to BMR cut-off value was only 5% in our previous study and 3% in the current study (and 18% when subjects were at home), considerably lower than the average of 33% (range 14% to 39%) reported by Poslusna *et al.* (10) in a review of misreporting of energy intakes, and when considering weighed food records. It appears, therefore, that subjects in both studies, reported more complete food records, or at least higher energy intakes, than is typical during free-living studies. It is quite possible that the residential nature of the study, with fewer of the usual day-to-day distractions, increased the completeness of food recording. It is also likely that subjects were in positive energy balance over the two-days residential stay as the nature of the protocol meant that subjects were sedentary whereas the average observed energy intake was  $1.82 \times \text{BMR}$ . This is higher than the estimated physical activity level of  $1.78 \times \text{BMR}$  of groups judged to be more active than average (21). This is supported by the small, but statistically significant average change in body weight, although using change in body weight as an estimate of change in energy balance over such a short period is only an approximation. Therefore, reported energy intakes were more likely to be above the misreporting cut-off than would be expected, as any misreporting was from a level that was probably higher than habitual. Even when low energy reporting was much less than usual there was still a large

discrepancy between the numbers of people identified as reporting implausible levels of energy intakes and actually misreporting food intake.

Under-reporting, and even over-reporting, were evident in both plausible and implausible food records, not just below or near the low-energy reporting cut-off value. Under-reporting of 12MJ/d was seen in one subject with a reported energy intake of almost 3\*BMR (subject X in figure 1). In contrast another subject accurately reported an energy intake that was less than half of BMR (subject Y in figure 1).

Most studies report an association between BMI and misreporting; subjects with higher BMIs being more likely to be classified as low-energy reporters, or a positive correlation between BMI and the difference between energy intake calculated from reported food intake and either estimated energy requirements or measured energy expenditure (10). An effect of BMI on the degree of misreporting was not apparent in the current study, or our previous study (7). The few studies that have used a covertly measured food intake as the reference have shown mixed results - either no effect of BMI on the degree of misreporting (9, 22), that obese subjects are more accurate in reporting their food intake than are overweight or lean subjects (23), or less accurate (8). Most of these studies have used diet recalls completed after the covert food intake measurement rather than concurrent measures thereby introducing a further source of uncertainty into the dietary intake method since the recall method relies on the ability and motivation of subjects to remember what was eaten. The difference in the apparent effect of BMI on the degree of misreporting when using estimated energy requirements compared to actual food intake may reflect a difficulty in estimating energy requirements in individuals with higher BMIs. BMR is often estimated using well established linear regression equations (24, 25). These equations tend to overestimate BMR at higher body weights because the increase in BMR with body weight is curvilinear. Increases in metabolically active fat-free-mass and metabolically less-active fat-mass do not occur at a linear rate as body weight increases (12). Overestimating BMR will lower the ratio of reported energy intake to BMR, and result in subjects with higher BMIs being more likely to be identified as low-energy-reporters than are lean subjects. Additionally, the Schofield equations underestimate BMR at lower body weights (25) resulting in leaner subjects being more likely to have reported energy intake to BMR ratios within the plausible range. However, the overweight and obese are still more likely to be classified as low-energy-reporters than are the “normal” weight after accounting for differences in body composition

by estimating BMR from estimated fat-free-mass (26). Therefore the difference in prevalence of misreporting between the lean and overweight may still exist, but might not be as great as is generally reported.

It has been argued that removing subjects who report implausibly low energy intakes introduces bias into any analyses (10), because subjects with higher energy requirements are also likely to under-report their food intake. The current study supports this.

Reported energy intakes were lower over the home phase than the residential phase, possibly because the residential environment of the HNU encouraged more complete food records, or the home environment hindered record keeping – or both. It is also possible that subjects altered their behaviour when in the HNU, which resulted in higher than habitual energy intakes. Food and drink were provided free to the subjects, and they probably had more time to prepare and eat meals than they would have had at home.

That so few subjects reported low energy intakes during both the home and laboratory phases suggests that people cannot be classified as consistently plausible reporters or consistently implausible reporters. Furthermore, misreporting of food intake is continuous and is not resolved with categorical cut-offs.

Plausible records that are invalid present difficulties for intervention and epidemiological studies, to the extent that some have argued that reliance on self-reported dietary intakes should be discontinued (27).

## **Limitations**

The results of this study, and therefore the conclusions drawn from it, are subject to a number of limitations.

Actual, and reported, energy intakes were higher during the laboratory phase than would be expected for sedentary subjects, and it is likely that the cut-off value would have identified more subjects with low reported energy intakes had subjects been studied in their natural environment. This would, however, have precluded an accurate measure of true food intake. A lack of a covert and objective measure of food intake during the home phase of the study is an unavoidable limitation.

In the present study energy expenditure was not measured during the time that subjects were completing the food records. However, energy intake when subjects were resident in the HNU was measured under identical conditions to a previous study where measured energy intake matched measured energy expenditure (7).

## **Summary**

Comparing reported energy intakes to estimates of energy expenditure has become an established method to identify implausible food intake records. We have previously shown that low-energy reporting, when compared to the gold standard Laboratory Weighed Intake method, occurs at all levels of energy turn-over (7). In this study we demonstrated that misreporting occurs at all levels of energy intake and found that the many plausible records of energy intake were inaccurate to variable degrees. The method of using energy intake to BMR ratios probably introduces bias by only excluding misreporters with low reported energy intakes and retaining misreporters with higher reported energy intakes. It may also have given researchers, and readers of the literature, a false confidence in the completeness of dietary data.

## **Acknowledgements.**

The original study, from which the current data were taken, was funded by the Food Standards Agency, UK.

## **Conflicts of interest**

The authors declare no conflict of interest.

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## Figure legends.

**Figure 1.** Difference in mean daily energy intake calculated from each subjects' self-reported food intake and that calculated from the investigator measured intake (WDR-L - LWI) against estimated energy requirements. WDR, weighed dietary record - laboratory. LWI, laboratory weighed intake.

Section A; Subjects identified as low energy reporters by the Goldberg method, but with valid/over reports of energy intake.

Section B; Subjects identified as acceptable reporters by the Goldberg method, but with valid/over reports of energy intake.

Section C; Subjects identified as low energy reporters by the Goldberg method, and under reported energy intake.

Section D; Subjects identified as acceptable reporters by the Goldberg method, and with valid/over reports of energy intake.

**Figure 2.** Reporting effect against estimated energy requirements. WDR-L, weighed dietary record - laboratory. LWI, laboratory weighed intake.

Section A; Subjects identified as low energy reporters by the Goldberg method, but with valid/over reports of energy intake.

Section B; Subjects identified as acceptable reporters by the Goldberg method, but with valid/over reports of energy intake.

Section C; Subjects identified as low energy reporters by the Goldberg method, and under reported energy intake.

Section D; Subjects identified as acceptable reporters by the Goldberg method, and with valid/over reports of energy intake.

**Figure 3.** Reported energy intake during the home phase of the study relative to BMR against reported energy intake relative to actual energy intake during the residential phase of the study. WDR-H, weighed dietary record – home. WDR-L, weighed dietary record - laboratory. LWI, laboratory weighed intake.

**Table 1** : Baseline characteristics of participants by sex, age and BMI group. (Mean values with their st

Sex	BMI	n	Age (Years)		Height (m)		Weight (kg)	
	Category kg/m <sup>2</sup>		Mean	SD	Mean	SD	Mean	SD
Females	20-25	47	41.6	12.9	1.65	0.06	60.2	5.9
Females	>25	48	45.0	11.8	1.62	0.05	75.4	9.1
Males	20-25	32	39.8	12.8	1.76	0.08	69.5	6.9
Males	>25	53	42.3	11.8	1.78	0.07	89.4	10.7

BMI: Body Mass Index. BMR: Basal Metabolic Rate

andard deviations)			
BMR (MJ/d)		BMI (kg/m <sup>2</sup> )	
Mean	SD	Mean	SD
5.5	0.8	22.3	1.8
6.0	0.8	28.6	3.0
6.7	1.3	22.4	1.4
7.6	1.0	28.3	2.8

**Table 2** : Average daily energy intake and energy intake relative to BMR over the WDR-L and WDR

	LWI		WDR-L		P (WDR-L and LWI)
	MJ/d	SE	MJ/d	SE	
Energy					
Females	9.6	0.28	9.2	0.24	0.007
Males	14.2	0.44	13.3	0.38	<0.001
All	11.8	0.3	11.2	0.27	<0.001
Energy/BMR					
Females	1.68	0.05	1.62	0.04	0.011
Males	1.98	0.06	1.85	0.05	<0.001
All	1.82	0.04	1.73	0.03	<0.001

LWI: Laboratory Weighed Intakes, WDR-L: Weighed Dietary Record Lab., WDR-H: Weighed Dietar

L-H measurement periods		
WDR-H		P (WDR-H and WDR-L)
MJ/d	SE	
8.5	0.20	<0.001
12.1	0.34	<0.001
10.2	0.23	<0.001
1.49	0.03	<0.001
1.67	0.04	<0.001
1.57	0.03	<0.001

y Record Home. BMR: Basal Metabolic Rate.







